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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number	10/092,641
Filing Date	March 6, 2002
First Named Inventor	Craig Jon HAWKER et al.
Art Unit	1711
Examiner Name	Unassigned
Attorney Docket Number	ARC920010100US

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document No.	Issue Date or Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date if Appropriate
DVD	AA	4,829,144	5/9/89	Brunelle et al.	528	176	—
DVD	AB	5,039,783	8/13/91	Brunelle et al.	528	272	—
DVD	AC	5,041,516	8/20/91	Fréchet et al.	528	44	—
DVD	AD	5,407,984	4/18/95	Brunelle et al.	524	178	—
DVD	AE	5,514,764	5/7/96	Frechet et al.	528	10	—
DVD	AF	5,668,186	9/16/97	Brunelle et al.	521	48	—
DVD	AG	5,965,679	10/12/99	Godschalx et al.	526	281	—
DVD	AH	6,107,357	8/22/00	Hawker et al.	521	77	—
DVD	AI	6,110,649	8/29/00	Carter et al.	430	313	—
DVD	AJ	6,288,188	9/11/01	Godschalx et al.	526	285	—

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document No.	Publication Date	Country	Class	Subclass	T *
DVD	AK	DE 19754304 A1	6/10/99	Germany	—	—	X
DVD	AL	DE 19922368 A1	11/16/00	Germany	—	—	X
DVD	AM	DE 19947631 A1	6/29/00	Germany	—	—	X
DVD	AN	WO 96/11054	4/18/96	PCT	—	—	—
DVD	AO	WO 99/43359	9/2/99	PCT	—	—	X
DVD	AP	WO 00/78846	12/28/00	PCT	—	—	—

## OTHER DOCUMENTS — NONPATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), Title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
DVD	AQ	Auweter (2000), "Production and Characterization of Organic Nanoparticles" seminar abstract, Clarkson University Department of Chemical Engineering and Center of Advanced Materials Processing (abstract printed 6/29/01 from URL: <a href="http://cu.clarkson.edu/~maigafat/PCON.html">http://cu.clarkson.edu/~maigafat/PCON.html</a> ).	—
DVD	AR	Mercerreyes et al. (2001), "A Novel Approach to Functionalized Nanoparticles: Self-Crosslinking of Macromolecules in Ultradilute Solution," <i>Advanced Materials</i> 13(3):204-208.	—
DVD	AS	Wooley (2000), "Shell Crosslinked Polymer Assemblies: Nanoscale Constructs Inspired from Biological Systems," <i>Journal of Polymer Science: Part A: Polymer Chemistry</i> 38:1397-1407.	—

\*English translation of abstract only.

Examiner Signature	<i>David Charles Davis</i>	Date Considered	10/01/2003
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.